

TRANSMITTAL LETTER TO THE UNITED STATES

WINT 045244

SEP 18 2000

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

09/646740

INTERNATIONAL APPLICATION NO.
PCT/EP99/01860

INTERNATIONAL FILING DATE
19 March 1999

PRIORITY DATE CLAIMED
19 March 1998

TITLE OF INVENTION

UTILIZATION OF EXTRACTS FROM IRIS PLANTS, CIMICIFUGA RACEMOSA AND TECTORIGENIN AS AN ESTROGEN-LIKE ORGAN-SELECTIVE MEDICAMENT WITHOUT UTEROTROPIC EFFECTS

APPLICANT(S) FOR DO/EO/US

Wolfgang Wuttke, Hubertus Jarry, Volker Christoffel, Barbara Spengler and Michael Popp

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).



Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Assignment Cover Sheet;

Certificate Under 37 CFR 3.37(b) Establishing Right of Assignee to Prosecute;

Notice of Change of Attorney Address; and
return postcard.

Certificate of Mailing by Express Mail

Express Mail Mailing Label Number EL243343944US

Date of Deposit Sept. 18, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT Assistant Commissioner for Patents Washington, D.C. 20231.

Luis Hernandez Henriquez
Luis Hernandez Henriquez Date 9/18/2000

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/646740	INTERNATIONAL APPLICATION NO. PCT/EP99/01860	ATTORNEY'S DOCKET NUMBER WINTe 045244
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21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$970.00**
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$840.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$690.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$670.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$96.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$840.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	16 - 20 =	0	x \$18.00
Independent claims	4 - 3 =	1	x \$78.00

\$0.00**\$78.00**Multiple Dependent Claims (check if applicable). ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$918.00**Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐**\$0.00****SUBTOTAL =****\$918.00**Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).**\$0.00****TOTAL NATIONAL FEE =****\$918.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒**\$40.00****TOTAL FEES ENCLOSED =****\$958.00**

Amount to be refunded	\$
charged	\$

☒ A check in the amount of **\$958.00** to cover the above fees is enclosed.☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-2460** A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Anne Wang, Esq.
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SIGNATURE

Anne Wang

NAME

36,045

REGISTRATION NUMBER

September 18, 2000

DATE

WINTE 045244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the application of:)

Wolfgang Wuttke, et al.)

Serial No.:)

Filed:)

For:)

UTILIZATION OF
EXTRACTS FROM IRIS
PLANTS, CIMICIFUGA
RACEMOSA AND
TECTORIGENIN AS AN
ESTROGEN-LIKE ORGAN-
SELECTIVE MEDICAMENT
WITHOUT UTEROTROPIC
EFFECTS

Examiner:

Group Art Unit:

Int. Applic. No. PCT/EP99/01860

Int. Filing Date: 19 March 1999

Priority Date Claimed: 19 March 1998

Prior Foreign Applic: 19812204.7DE

Certificate of Mailing by Express Mail

Express Mail Mailing Label Number

EL 243343944US

Date of Deposit 9/18/2000

I hereby certify that this paper or fee is being deposited
with the U.S. Postal Service "Express Mail Post Office
To Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to: Box PCT Assistant
Commissioner for Patents
Washington, D.C. 20231

Luis Hernandez 9/18/2000
Luis Hernandez Date
Henriquez

NOTICE OF CHANGE OF ATTORNEY ADDRESS

Box POST REG
Assistant Commissioner for Trademarks
2900 Crystal Drive
Arlington, VA 22202-3513

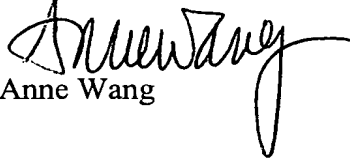
Sir:

Please be advised that the address of the attorneys identified in the Power of

Attorney has been changed to the following:

PRETTY & SCHROEDER, P.C.
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Respectfully submitted,
PRETTY & SCHROEDER


Anne Wang

Dated: September 18, 2000

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